

Modified Form PTO-1390 (11-98)

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY'S DOCKET NUMBER

AGI-127

U.S. APPLICATION NO. (if known, see 37 CFR 1.5)

10/070466INTERNATIONAL APPLICATION NO.
PCT/US00/24659INTERNATIONAL FILING DATE
8 September 2000 (08.09.00)PRIORITY DATE CLAIMED
10 September 1999 (10.09.99)TITLE OF INVENTION **COMPOSITIONS AND METHODS FOR MODIFICATION OF SKIN LIPID CONTENT**APPLICANT(S) FOR DO/EO/US **David A. Brown and Daniel B. Yarosh**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ has been transmitted by the International Bureau.
 - c. ☒ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
(see Express Mail Information)

U.S. APPLICATION NO. (if known, see 37 CFR 1.53) **107 070466** INTERNATIONAL APPLICATION NO.
PCT/US00/24659

ATTORNEY'S DOCKET NUMBER
AGI-127

17. ☒ The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1)-(5)):

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2) paid to USPTO
and International Search Report not prepared by the EPO or JPO **\$1,040.00**

International preliminary examination fee (37 CFR 1.482) not paid to
USPTO but International Search Report prepared by the EPO or JPO **\$890.00**

International preliminary examination fee (37 CFR 1.482) not paid to USPTO
but international search fee (37 CFR 1.445(a)(2) paid to USPTO **\$740.00**

International preliminary examination fee paid to USPTO (37 CFR 1.482)
but all claims did not satisfy provisions of PCT Article 33(1)-(4) **\$710.00**

International preliminary examination fee paid to USPTO (37 CFR 1.482)
and all claims satisfied provisions of PCT Article 33(1)-(4) **\$100.00**

ENTER APPROPRIATE BASIC FEE AMOUNT =

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e)).

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE
Total claims	28 - 20 =	8	X \$18.00
Independent claims	3 - 3 =	0	X \$84.00
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$280.00

TOTAL OF ABOVE CALCULATIONS =

Reduction by 1/2 for filing by small entity, if applicable. A Small Entity Statement
must also be filed (Note 37 CFR 1.9, 1.27, 1.28).

SUBTOTAL =

Processing fee of **\$130.00** for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)).

TOTAL NATIONAL FEE =

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be
accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). **\$40.00** per property +

TOTAL FEES ENCLOSED =

CALCULATIONS PTO USE ONLY

\$ 710.00

\$

\$ 144.00

\$

\$

\$ 854.00

\$ 427.00

\$ 427.00

\$

\$ 427.00

\$ 427.00

Amount to be:
refunded

\$

charged

\$

a. ☒ A check in the amount of \$ 427.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. 11-1158 in the amount of \$ _____ to cover the above fees.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any
overpayment to Deposit Account No. 11-1158. A duplicate copy of this sheet is enclosed

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR
1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Maurice M. Klee, Ph.D.
Attorney at Law
1951 Burr Street
Fairfield, Connecticut 06430
(203) 255-1400

Maurice Klee

Maurice M. Klee, Ph.D.
Registration Number. 30,399

March 7, 2002

Date

[222] Attorney Docket No. : AGI-127

PATENT

IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

Int. Appl. No. : PCT/US00/24659
Int. Filing Date : 8 September 2000 (08.09.00)
Priority Date Claimed : 10 September 1999 (10.09.99)
Title of Invention : COMPOSITIONS AND METHODS FOR
MODIFICATION OF SKIN LIPID CONTENT
U.S. Serial No. : Not Yet Assigned
Applicant (U.S.) : David A. Brown and Daniel B. Yarosh

COMMISSIONER OF PATENTS AND TRADEMARKS
WASHINGTON, D.C. 20231
BOX PCT

ATTENTION: DO/EO/US

EXPRESS MAIL INFORMATION

Attached hereto are the following papers which are being mailed to the Commissioner of Patents and Trademarks, Washington, D.C., 20231, utilizing the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated below:

1. Transmittal Letter (2 pages, 2 copies)
2. Small Entity Declaration (3 pages)
3. Preliminary Amendment (with attached Exhibit A; 6 pages)
4. Declaration and Power of Attorney (3 pages)
5. Express Mail Information (1 page; this paper)
6. Check \$427.00
7. Return Receipt Postcard (1 card)

Date of mailing: March 7, 2002

Express Mail mailing label number: ET724585615

Person depositing papers with
the U.S. Postal Service: Anya Klee

March 7, 2002
Date Signed

Anya Klee
Anya Klee